

# GLOBAL CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

[OMB Control Number 1506-0043]

***The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).***

This Certification should be completed by any **foreign bank** that maintains a correspondent account with any U.S. bank or U.S. broker-dealer in securities (a covered financial institution as defined in 31 C.F.R. 1010.605 (e)). An entity that is not a foreign bank is not required to complete this Certification.

A foreign bank is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 1010.100 (u)). A bank includes office, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 1010.100 (d)).<sup>1</sup>

A Correspondent Account for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

*Special Instruction for foreign branches of U.S. banks:* A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

*Special instruction for covering multiple branches on a single Certification:* A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for each branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

- A.** The undersigned financial Institution, **Banco Bradesco S.A.**, on its own behalf and on behalf of each of the foreign branches and subsidiaries listed in the attachment hereto (the "**Banco Bradesco Bank Entities**") hereby certifies as follows:

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1. A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

**B. Correspondent Accounts Covered by this Certification:**

This Certification applies to all accounts established for **Banco Bradesco Bank Entities** by Covered Financial Institutions.

**C. Physical Presence/Regulated Affiliate Status:**

Each of the **Banco Bradesco Bank Entities** maintains a physical presence in any country. That means that each of the **Banco Bradesco Bank Entities** listed in the attachment hereto:

- Has a place of business at the street address indicated in the attachment hereto, where it employs one or more individuals on a full-time basis and maintains operating records related to its banking activities;
- Is authorized to conduct banking activities in the country where its place of business is located;
- Is subject to inspection by the Banking Authority identified in the attachment hereto that licensed the particular entity to conduct banking activities.

**D. Indirect Use of Correspondent Accounts:**

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Each of the **Banco Bradesco Bank Entities** hereby certifies that they do **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country that is not a regulated affiliate.

**E. Ownership Information:**

The entities listed in the attachment hereto are owned, directly or indirectly, by **Banco Bradesco S.A.**, whose shares are publicly traded.

Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

**F. Process Agent:**

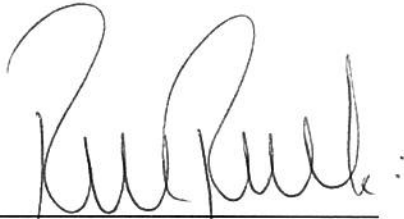
**Banco Bradesco S.A. – New York Branch** is a resident of the United States at the following street address: **450, Park Avenue, 32<sup>nd</sup> / 33<sup>rd</sup> Floor – New York – N.Y. – 10022 – USA**, and is authorized to accept service of legal process on behalf of each of the **Foreigns Banks** from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

**G. General:**

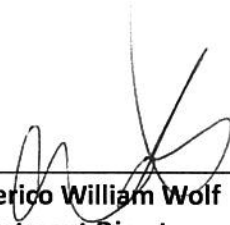
Each of the **Banco Bradesco Bank Entities** hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Each of the **Banco Bradesco Bank Entities** understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Each of the **Banco Bradesco Bank Entities** further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments "and agencies" governmental functions.

We, **Frederico William Wolf** and **Roberto Medeiros Paula**, certify that we have read and understand this Certification, that the statements are made in this Certification are complete and correct, and that we are authorized to execute this Certification on behalf of each of the **Foreign Banks**.



**Roberto Medeiros Paula**  
*Department Director*



**Frederico William Wolf**  
*Department Director*

**Banco Bradesco S.A.**

Update on January, 05, 2018.

**Received and reviewed by:**

Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
For: \_\_\_\_\_  
[Name of Covered Financial Institution]  
Date: \_\_\_\_\_

## Banco Bradesco Bank Entities

Attachment to Banco Bradesco S.A. Certification Regarding Correspondent Accounts:  
List of Bradesco Branches and Subsidiaries Covered by this Certification dated of January, 05, 2018.

Entity	Address	City/Country	Banking Authority
<b>HEAD OFFICE</b>			
Banco Bradesco S.A.	Cidade de Deus, s/n, Cep 06029-900, Osasco, São Paulo	São Paulo Brazil	Bacen (Central Bank of Brazil)
<b>SUBSIDIARY</b>			
Banco Bradesco BBI S.A.	Cidade de Deus, s/n, Cep 06029-900, Osasco, São Paulo	São Paulo Brazil	Bacen (Central Bank of Brazil)
<b>FOREIGN BRANCHES</b>			
Banco Bradesco S.A. - New York Branch	450, Park Avenue, 32nd / 33rd Floor – New York – N.Y. – 10022	New York USA	OCC (Office of the Comptroller of the Currency)
Banco Bradesco S.A. - Grand Cayman Main Branch	Appleby Tower, 05th, floor, 75 Fort Street, George Town, Grand Cayman, Cayman Islands	Grand Cayman Cayman Islands	CIMA (The Cayman Islands Monetary Authority)
Banco Bradesco S.A. Cayman Financial's Centre Branch	1 Cayman Financial Center, 36 A Dr Roys Drive, P.O. BOX 2510, 4th Floor, Georgetown, Grand Cayman	Grand Cayman Cayman Islands	CIMA (The Cayman Islands Monetary Authority)
<b>FOREIGN SUBSIDIARIES</b>			
Banco Bradesco Argentina S.A.	Calle Juana Manso, 555, Piso 2°, Oficinas "C" y "D", Puerto Madero, Buenos Aires, Argentina, C1107CBK	Buenos Aires Argentina	BCRA (Banco Central de la República Argentina)
Banco Bradesco Europa S.A.	29, Avenue de la Porte-Nueve, L 2227 - Luxembourg	Luxembourg Luxembourg	CSSF (Commission de Surveillance du Secteur Financier)
Cidade Capital Markets Ltd	P.O. Box 309 GT, Uglan House, South Church Street, Georgetown, Grand Cayman	Grand Cayman Cayman Islands	CIMA (The Cayman Islands Monetary Authority)

These entities are also subject to supervision by the Bacen – Central Bank of Brazil.